

FORM PTO-120  
(2-9-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

JCO PCT/PTO 27 NOV 2001 CT

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

99002 UTAP

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/980032

INTERNATIONAL APPLICATION NO.

PC/SE00/00229

INTERNATIONAL FILING DATE

February 7, 2000

PRIORITY DATE CLAIMED

June 4, 1999

TITLE OF INVENTION

Tube-Formed Rock Bolt

APPLICANT(S) FOR DO/EO/US

Thomas Arvidsson

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) ☒ is:
    - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
  6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information: Copy of International Preliminary Examination Report  
Express Mail Certificate

\* including 2 sheets of drawing (Figs. 1 - 3) and copy of  
International Search Report

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INTERNATIONAL APPLICATION NO.  
PCT/SE00/00229

JC13 Rec'd PCT/PTO 27 NOV 2001

ATTORNEY'S DOCKET NUMBER  
99002 UTAP17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO.....~~\$230.00~~x

International preliminary examination fee paid to USPTO (37 CFR 1.482)

~~\$400.00~~xNo international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....~~\$240.00~~Neither international preliminary examination fee (37 CFR 1.482) nor 1040  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....~~\$250.00~~xInternational preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4).....~~\$20.00~~

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 1040

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ ----

Claims	Number Filed	Number Extra	Rate
Total Claims	12 * <del>10</del> - 20 =	-----	X \$22.00
Independent Claims	1 - 3 =	-----	X \$74.00
Multiple dependent claims(s) (if applicable)			+ \$230.00

\$ ----

\$ ----

\$ ----

TOTAL OF ABOVE CALCULATIONS =

\$ 1040

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ ----

SUBTOTAL =

\$ 1040

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ ----

TOTAL NATIONAL FEE =

\$ 1040

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40

TOTAL FEES ENCLOSED =

\$ 1080

\*See Preliminary Amendment

Amount to be:  
refunded \$  
charged \$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ Credit Card Payment Form enclosedNOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

MARK P. STONE  
1100 HIGH RIDGE ROAD  
SUITE 205  
STAMFORD, CONNECTICUT 06905  
(203) 329-3355

SIGNATURE

Mark P. Stone

NAME

Reg. No. 27,954

REGISTRATION NUMBER

09/980032

Rec'd PCT/PTO 27 NOV 2001

99002 UTAP

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Thomas Arvidsson

United States National Phase of  
PCT/SE00/00229, Filed February 7, 2000

Title: Tube-Formed Rock Bolt

Commissioner for Patents  
Washington, D.C. 20231

Attn: PCT-US/EO

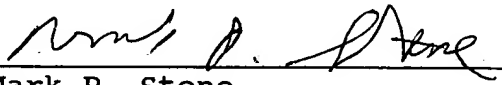
EXPRESS MAIL CERTIFICATE

"Express Mail" Mailing Label No. EI 469 614 335 US

Date of Deposit: November 27, 2001

I hereby certify that this: Copy of Published PCT/SE00/00229 including specification, claims and two sheets of drawing (Figs. 1 - 3), and International Search Report; Preliminary Amendment with Revised and Replacement Claims 3 - 5, New Claims 6 - 12, and Abstract of the Disclosure; Executed Inventor's Declaration; Information Disclosure Statement with Form PTO-1449, International Preliminary Examination Report, and copies of five references; Executed Assignment and Assignment cover sheet; Credit Card Payment Form for filing U.S. National Phase and for recording Assignment; and Transmittal Letter to PCT-US/EO.

is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202.

  
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